

IAP7 Rec'd PCT/PTO 25 JAN 2006

## U.S. DEPARTMENT OF COMMERCE PATENT &amp; TRADEMARK OFFICE

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|---|--|--|--|--|--|
| B/O Form PTO-1390   |  | <b>Transmittal Letter to the United States<br/>Designated/Elected Office (DO/EO/US)<br/>Concerning a Filing Under 35 USC 371</b> |  | Attorney's Docket Number<br>SATO3028/GAL<br>U.S. Application Number (if known)<br><b>107565775</b> |  |
| International Application Number<br>PCT/JP2004/011799   |  | International Filing Date<br>11 August 2004  |  | Priority Date Claimed<br>11 August 2003  |  |
| Title of Invention<br><b>2-DIMENSIONAL CODE FORMATION METHOD AND FORMATION DEVICE</b>   |  |  |  |  |  |
| Applicant(s) for DO/EO/US<br><b>Kazuo SATO and Mitsuyoshi KATO</b>  |  |  |  |  |  |
| <b>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items under 35 USC 371:</b>   |  |  |  |  |  |
| <ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under <i>35 USC 371</i>.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under <i>35 USC 371</i>.</li> <li>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (<i>35 USC 371(f)</i>) at any time rather than delay examination until the expiration of the applicable time limit set in <i>35 USC 371(b)</i> and PCT Articles 22 and 39(1).</li> <li>4. <input checked="" type="checkbox"/> The U.S. has been elected (Article 31).</li> <li>5. <input type="checkbox"/> A copy of the International Application as filed <i>35 USC 371(c)(2)</i>.             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> A translation of the International Application into English (<i>35 USC 371(c)(2)</i>).</li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (<i>35 USC 371(c)(3)</i>)             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (<i>35 USC 371(c)(3)</i>).</li> <li>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (<i>35 USC 371(c)(4)</i>). (<input type="checkbox"/> Executed <input type="checkbox"/> Unexecuted)</li> <li>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (<i>35 USC 371(c)(5)</i>).</li> </ol> <p><b>Items 11 to 16 below concern other document(s) or information included:</b></p> <ol style="list-style-type: none"> <li>11. <input type="checkbox"/> An Information Disclosure Statement under <i>37 CFR 1.97</i> and <i>1.98</i>.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with <i>37 CFR 3.28</i> and <i>3.31</i> is included.</li> <li>13. <input type="checkbox"/> A preliminary amendment.</li> <li>14. <input checked="" type="checkbox"/> An Application Data Sheet under <i>37 CFR 1.76</i>.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and U.S.C. 1.821 - 1.825.</li> <li>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English translation of the international application under 35 U.S.C. 154(d)(4)</li> <li>20. <input type="checkbox"/> Other items or information:</li> </ol> |  |  |  |  |  |

|   |              |  |               |   |                |
|---|--------------|--|---------------|---|----------------|
| Application Number (if known)<br><b>10/565775</b>   |              | International Application Number<br><b>PCT/JP2004/011799</b>                   |               | Attorney's Docket Number<br><b>SATO3028/GAL</b> |                |
|   |              |  |               | <b>Calculations</b>                             | <b>PTO USE</b> |
| 21. The following fees are submitted:   |              |  |               |   |                |
| <input checked="" type="checkbox"/> a) Basic national fee.....\$300.00<br><input checked="" type="checkbox"/> b) Examination fee .....\$200.00  |              |  |               | \$500.00  |                |
| <input checked="" type="checkbox"/> c) Search Fee:<br><input type="checkbox"/> If the written opinion of the ISA/US or the International preliminary examination report prepared b IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) .....\$0.00<br><input type="checkbox"/> Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....\$100.00<br><input checked="" type="checkbox"/> International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB.....\$400.00<br><input type="checkbox"/> All other situations.....\$500.00 |              |  |               | \$500.00  |                |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is <b>\$250</b> for each additional 50 sheets of paper or fractions thereof.  |              |  |               |   |                |
| Total Sheets  | Extra sheets | Number of each additional 50 or fractions thereof (round up to a whole number) | RATE          |   |                |
| - 100 =   | /50=         |  | x \$250.00    |   |                |
| Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).   |              |  |               |   |                |
| CLAIMS  | NUMBER       | NUMBER EXTRA   | RATE          |   |                |
| Total Claims  | 13 -20 =     | 0  | x \$50.00     |   |                |
| Independent Claims  | 05 -3 =      | 2  | x \$200.00    | \$ 400.00                                       |                |
| Multiple Dependent Claims (if applicable)   |              |  | + \$360.00    |   |                |
| <b>TOTAL OF ABOVE CALCULATIONS</b>  |              |  |               |   |                |
| <input type="checkbox"/> Reduction by ½ for filing by small entity, if applicable. Small Entity Status is asserted pursuant to 37 CFR 1.27 for this application.  |              |  |               |   |                |
| <b>SUBTOTAL</b>   |              |  |               | <b>\$ 1,400.00</b>                              |                |
| Processing fee of <b>\$130.00</b> for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  |              |  |               |   |                |
| <b>TOTAL NATIONAL FEE</b>   |              |  |               | <b>\$ 1,400.00</b>                              |                |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property.  |              |  |               |   |                |
| <b>TOTAL FEES ENCLOSED</b>  |              |  |               | <b>\$ 1,400.00</b>                              |                |
|   |              |  | Amount to be: | Refunded:                                       |                |
|   |              |  |               | Charged:  |                |

- a. ☒ A check in the amount of **\$ 1400.00** to cover the fees is enclosed.  
 b. ☐ Please charge my **Deposit Account Number 02-0200** in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.  
 c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to **Deposit Account Number 02-0200**.

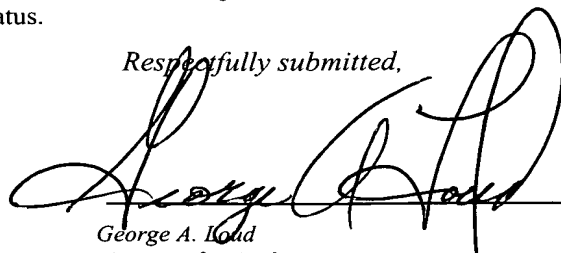
Note: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

**23364**

Customer Number  
Phone: (703) 683-0500

DATE: January 25, 2006

Respectfully submitted,

  
 George A. Ioud  
 Attorney for Applicant  
 Registration Number: 25,814